

# Annexure 7

## **Individual Affidavits to be given by all the Legal Heirs**

(Affidavit-cum-NOC for Transmission of AUM on death of an ARN Holder)

*Each Deponent (legal heir) shall provide separate affidavit*

I/We do hereby solemnly affirm and state on oath as follows:

1) That Mr./Ms. \_\_\_\_\_ Name of the deceased MFD  
was registered with AMFI under ARN \_\_\_\_\_ and was empanelled with \_\_\_\_\_ Name of the AMC  
as a distributor for promoting mutual fund schemes.

2) That the aforesaid ARN holder died intestate on \_\_\_\_\_, leaving behind him/her the following persons as the only surviving legal heirs, as per the Succession Certificate\* / Legal Heirship Certificate\* dated \_\_\_\_\_ / according to the Law of Intestate Succession applicable to him/her by which he/she was governed at the time of his/her death, without registering any nominee/s \*/ naming Mr./Ms. \_\_\_\_\_ Name of the nominee as his/her Nominee\*

OR

2) That the aforesaid deceased ARN holder died testate, leaving behind him/her, the following persons as the legatees as per the Probated Will dated \_\_\_\_\_ and without registering any nominee. \*

A notarised copy of the Succession Certificate\* / Legal Heirship Certificate\* / Probated Will is attached herewith.

Name of the legal heirs/s	Address	Age	Relationship with the Deceased
1.			
2.			
3.			
4.			

3) That among the aforesaid legal heirs, Master / Kum. \_\_\_\_\_ aged \_\_\_\_\_ years is a minor and is being represented by Mr./Ms. \_\_\_\_\_ \$ being his / her father / mother / legal guardian.

4) That I / We am/are the legal heir(s)\* / Nominee\* of the abovenamed deceased distributor, apart from the Claimant, Mr. / Ms. \_\_\_\_\_ Name of the claimant under whose ARN, the AUM is sought to be transferred who is the daughter\* /son\*/ spouse\* of the deceased distributor, and under whose ARN the AUM of the deceased ARN holder is sought to be transferred.

5) I / We hereby declare that I / we do not wish to make any claim/right over the Assets under Management (AUM) standing to the credit of the abovenamed deceased distributor and I / we hereby willfully relinquish & renounce all my /our rights in respect of thereof and shall have no legal claim upon said AUM and/or the trail commission in respect thereof in favour of abovenamed claimant Ms./Mr. \_\_\_\_\_.

6) Accordingly, I / we declare that I / we have NO OBJECTION WHATSOEVER in \_\_\_\_\_ (Name of the AMC) transferring the AUM in respect of the abovenamed distributor in favour of the aforesaid Mr. / Ms. \_\_\_\_\_ Name of the claimant under whose ARN, the AUM is sought to be transferred \_\_\_\_\_.

7) I also indemnify the \_\_\_\_\_ Mutual Fund and its AMC through a separate Indemnity letter.

8) I / We hereby state that whatever is stated hereinabove are true to the best of my/our knowledge.

Signature of the Deponent: \_\_\_\_\_

\* ~~strikeout whichever is not applicable~~ # = Name of the legal heir \$ Name of the Guardian

### **VERIFICATION**

I hereby solemnly affirm and state that what is stated herein above is true and correct. The original Death Certificate or original attested copy of the death certificate and nothing has been concealed therein and that I am competent to contract and entitled to rights and benefits of the abovementioned AUM of the deceased ARN holder.

Solemnly affirmed at \_\_\_\_\_ Signature of the Deponent: \_\_\_\_\_

### **SIGNED BEFORE ME**

Place: \_\_\_\_\_

Date : \_\_\_\_\_

\_\_\_\_\_  
Signature of Notary with Official Seal of Notary & Regn. No.