

Documents required for various categories of distributors

Category of the distributor	Required Documents	Additional Requirement
Banks (Other than those specified below)	<ul style="list-style-type: none"> - Copy of license issued by RBI - Copy of Board Resolution & - List of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee
Regional Rural Banks, District Central Co-Op. Banks	<ul style="list-style-type: none"> - Copy of license issued by RBI - Copy of Board Resolution & - List of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee
Non Banking Finance Company (NBFC)	<ul style="list-style-type: none"> - Copy of Letter of Registration issued by RBI - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	NISM Certified & AMFI Registered Employee
Public Ltd. Co.	<ul style="list-style-type: none"> - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	NISM Certified & AMFI Registered Employee
Pvt. Ltd. Co.	<ul style="list-style-type: none"> - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	NISM Certified & AMFI Registered Employee
One Person Company (OPC)	<ul style="list-style-type: none"> - @@ Declaration as mentioned below 	NISM Certified & AMFI Registered Employee
	<ul style="list-style-type: none"> - Copy of certificate of incorporation - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	
Limited Liability Partnership (LLP)	<ul style="list-style-type: none"> - @@ Declaration as mentioned below - Certificate of Incorporation of LLP (in form 16) - Copy of LLP Agreement - Name, address and PAN details of the Designated Partners. - Name, address and PAN details of other partners (other than the Designated Partners) - Other persons authorized to act on behalf of the LLP 	NISM Certified & AMFI Registered Employee
Partnership Firm	<ul style="list-style-type: none"> - @@ Declaration as mentioned below - Partnership Deed - List of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee

<p>Urban Co-Op. Banks, Societies, Trusts, HUFs</p>	<p><u>Urban Co-Op. Banks</u></p> <ul style="list-style-type: none"> - Copy of license issued by RBI - Copy of Board Resolution - List of Authorized signatories with Specimen signature <p><u>Societies</u></p> <ul style="list-style-type: none"> - Society by-laws - copy of certificate issued by Registrar of Societies - list of Authorized signatories with Specimen signature 	<p>NISM Certified & AMFI Registered Employee</p>
	<p><u>Trusts</u></p> <ul style="list-style-type: none"> - Trust deed - copy of Letter of Registration - List of trustees with Specimen signature <p><u>HUFs</u></p> <ul style="list-style-type: none"> - @@ Declaration as mentioned below - HUF deed and - Name of Karta & Authorized person with Specimen signature 	
<p>Post Office</p>	<p>List of Authorized signatories with Specimen signature</p>	<p>NISM Certified & AMFI Registered Employee</p>
<p>Micro Finance Institution</p>	<p>The relevant documents as may be applicable as stated above, depending on the category under which the Micro Finance Institution is incorporated viz. Society, Trust, Company, NBFC, etc. and the document evidencing that the entity is a Micro Finance Institution</p>	<p>NISM Certified & AMFI Registered Employee</p>
<p>Individuals</p>	<p>Copy of NISM passing Certificate</p>	<p>Two stamp size color photographs</p>
<p>Senior Citizens Criteria to apply for allotment of ARN under this category : A person who has attained age of 50 years as on May 31, 2010 OR A person who has at least 10</p>	<p>Copy of CPE certificate</p>	<p>Two stamp size color photographs</p>
<p>years experience in the securities market as on May 31, 2010 AND/OR A person who has at least 10 years experience in distribution of Mutual Fund Products as on May 31, 2010 Any individual satisfying <u>any</u> of the above mentioned criteria is eligible to register</p>		

himself as ARN holder under senior citizen category.		
Employees	Copy of NISM passing Certificate	Two stamp size color photographs

New Cadre of Distributors as defined in SEBI Circular dated September 13, 2012 and as notified by AMFI	Please click here for details
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The prescribed fees can be paid only by demand draft in favour of the "Association of Mutual Funds in India" payable at the location of the CAMS office (CAMS-POS) to which the form is submitted.

@@ Declaration by OPC/ Partnership Firm/ LLP/ HUF. where OPC/ Partnership Firm/ LLP and HUF as a corporate entity apply for registration without passing NISM Certification Test by member of OPC/ any of the person authorised / any partner/ Karta of HUF, but along with application for registration of their employee who is NISM Certified, the declaration / undertaking as under should be furnished along with application:

"I/We hereby declare that I, member of OPC/ any of the person authorised/ any of the partners/ Karta of HUF am/ is not engaged in the activity of selling/ marketing of Mutual Fund schemes and all such activities are carried out only by the person/s employed, who has/ have a valid certification issued by NISM and are registered with AMFI.

I/We further undertake that all our employees who are engaged in sales and marketing Mutual Fund schemes shall have a valid certification issued by NISM and shall obtain registration with AMFI before engaging in the activity of selling/ marketing of Mutual Fund schemes.

I/We also hereby undertake to pass Mutual Fund Distributors Certification Examination Series V-A and obtain registration with AMFI as when I, member of OPC/ any of the person authorised/ any partner / Karta of HUF decides to engage in sales and marketing of Mutual Fund schemes in future."